

## UNIVERSITY OF PITTSBURGH POLICY

**CATEGORY:** Research Administration  
**SECTION:**  
**SUBJECT:** Open Access Dissemination of Scholarly Works  
**EFFECTIVE DATE:** TBA  
**PAGE(S):**

### I. PURPOSE

1. To increase the visibility and discovery of the research output of the University of Pittsburgh and its faculty.
2. To support the University's mission of service by disseminating research results to the global academic community.
3. To readily demonstrate the high level of research conducted at the University.

### II. DEFINITIONS

1. The policy pertains to research articles published in scholarly journals, hereinafter referred to as "Scholarly Work(s)" or "Works." Such Scholarly Works, often but not exclusively peer-reviewed, describe the results of research, which University Authors give to the world for the sake of inquiry and knowledge without expectation of payment.
2. The policy applies to University of Pittsburgh faculty, postdoctoral associates, and postdoctoral students only, hereinafter referred to as "University Author(s)" or "Authors."
3. The policy refers to use of the University's "Institutional Repository," a freely accessible, digital archive that provides stable, long-term storage for and access to Scholarly Works.
4. The policy refers to "Open Access," which can be described as unrestricted online access to articles published in scholarly journals and other published forms of scholarly communication. Research published under Open Access principles is digital, online, and free of charge.

### III. SCOPE

1. The policy provides for dissemination of Scholarly Works by University Authors based on Open Access principles.
2. The policy is applicable only to the University Schools and Responsibility Centers that adopt it.
3. This policy allows for Scholarly Works to be deposited in the University's Institutional Repository by the University Library System's Office of Scholarly Communication and Publishing.
4. This policy is applicable only to Scholarly Works for which the Author retains ownership of copyright or the Publisher of the Work allows placement of an Author's pre-publication manuscript, a final copy (pre-print), or the published version (post-print) in an Institutional Repository.
5. The policy is not intended to impose limitations on where University Authors can publish their Scholarly Works, now or in the future, and includes provisions to waive the requirement to deposit the Works under Open Access if permission to do so is not granted by the Publisher.

#### IV. POLICY

Each University Author employed at a University of Pittsburgh School or Responsibility Center that adopts this policy grants the University a license to make available the Author's Scholarly Works, and to exercise the copyright in those Works, for the purpose of Open Access dissemination.

Open Access dissemination will be accomplished through the University's Institutional Repository. The University Library System's Office of Scholarly Communication and Publishing will deposit the Scholarly Works on behalf of University Authors. The Office will gather bibliographic information about each Work, record this information in the Institutional Repository, and if necessary, seek permission from the Publisher to deposit the Author's pre-publication manuscript, the final copy (pre-print), or the published version (post-print) in the Institutional Repository. Authors may also deposit their own Works or designate others to do so on their behalf.

In cases where the Publisher imposes restrictions on depositing Works in the Institutional Repository, additional measures may be taken to satisfy the Publisher's requirements. These measures may include restricting access to University of Pittsburgh users for a specified embargo period or permanently restricting access to University of Pittsburgh users. If the Publisher does not grant permission to deposit a Work, then the requirement to deposit a Work will be waived. Instead, the Office of Scholarly Communications and Publishing will create a citation-only record for the Work in the Institutional Repository and, where possible, provide a link to the published version as it appears on the Publisher's Web site.

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The policy applies to all Scholarly Works written while the University Author is employed by the University, except for Scholarly Works completed before the adoption of this policy and for Scholarly Works for which the University Author entered into an incompatible licensing or assignment agreement before the adoption of this policy; [or cases in which the author must enter an incompatible licensing or assignment agreement as a condition of publication.](#)

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#### V. REFERENCE